

PRIVACY IMPACT ASSESSMENT (PIA)

PRESCRIBING AUTHORITY: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:

DPS (MilMove) Prototype System

2. DOD COMPONENT NAME:

United States Transportation Command

3. PIA APPROVAL DATE:

07/23/19

SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

a. The PII is: (Check one. Note: foreign nationals are included in general public.)

- ☐ From members of the general public ☐ From Federal employees and/or Federal contractors
- ☒ From both members of the general public and Federal employees and/or Federal contractors ☐ Not Collected (if checked proceed to Section 4)

b. The PII is in a: (Check one)

- ☐ New DoD Information System ☐ New Electronic Collection
- ☐ Existing DoD Information System ☐ Existing Electronic Collection
- ☒ Significantly Modified DoD Information System

c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.

The DPS (MilMove) prototype is an Amazon Web Service (AWS) cloud-hosted iteration of the legacy Defense Personal Property System (DPS) being developed using industry best practices and agile software methodology with a user centric focus. It is designed to provide a centralized, cloud-based system for the management of personal property shipments for the Department of Defense (DOD) and U.S. Coast Guard. Specific changes and enhancements relative to DPS include changes and improvements to the user experience through the use of modern interfaces and APIs, and a greater back-end system flexibility and adaptability by utilizing the AWS cloud architecture. Although the technological implementation has significantly changed, the underlying DPS programmatic requirements, to include collection of PII, has not changed. If this prototype is deemed a success, it will be continued as Increment IV of the effort to modernize DPS. PII will be collected in the prototype for the movement of personal property shipments. The PII allows DoD personnel to arrange shipment of their household goods with Transportation Service Providers (TSPs) and pay for services utilizing a third party payment system. MilMove will also provide financial data in Electronic Data Interchange (EDI) format to the Defense Finance and Accounting Services (DFAS) for carrier and agent payments, and will receive electronic movement orders from the military Services. Information collected includes name and/or spouse name, rank and grade, social security number, DoD ID number, home and/or cellphone numbers, home address, emergency contact information, and basic spouse and/or dependent information. The prototype is building out an interface with Defense Manpower Data Center (DMDC) to provide a look-up service for SSNs using DOD ID numbers. Once this interface is functional, the prototype will purge all SSN's from the system and will no longer store or collect SSNs.

d. Why is the PII collected and/or what is the intended use of the PII? (e.g., verification, identification, authentication, data matching, mission-related use, administrative use)

PII is collected for identification of an individual, authentication of entitlement to a government funded movement, mission related need to ensure coordination of movements, and for administrative purposes to include proper payment.

e. Do individuals have the opportunity to object to the collection of their PII? ☒ Yes ☐ No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

The user is informed that the collection of their PII is voluntary and if they choose not to provide the PII they will not be allowed to use the DPS(MilMove) prototype. Prior to entry of any PII, users are also provided applicable privacy notices to review regarding the use and requirement for their PII.

f. Do individuals have the opportunity to consent to the specific uses of their PII? ☐ Yes ☒ No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

The system captures the minimal amount of PII necessary to interface with government systems to facilitate completing a move. All required information is necessary to process a household goods move. Any change of this baseline consent would render the system unusable.

g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided. (Check as appropriate and provide the actual wording.)

☒ Privacy Act Statement ☐ Privacy Advisory ☐ Not Applicable

1. This system is covered by Systems of Record Notice FRTRANSCOM 01 DoD - Defense Transportation System (DTS) Records dated (September 26, 2014, 79 FR 57893).

2. **AUTHORITY:** Public Law 100-562, Imported Vehicle Safety Compliance Act of 1988; 5 U.S.C. 5726, Storage Expenses, Household Goods and Personal Effects; 10 U.S.C. 113, Secretary of Defense; 10 U.S.C. 3013, Secretary of the Army; 10 U.S.C. 5013, Secretary of the Navy; 10 U.S.C. 8013, Secretary of the Air Force; 19 U.S.C. 1498, Entry Under Regulations; 37 U.S.C. 406, Travel and Transportation Allowances, Dependents, Baggage and Household Effects; Federal Acquisition Regulation (FAR); Joint Federal Travel Regulation (JTR), Volumes I and II; DoD Directive 4500.9E, Transportation and Traffic Management; DoD Directive 5158.4, United States Transportation Command; DoD Instruction 4500.42, DoD Transportation Reservation and Ticketing Services; DoD Regulation 4140.1, DoD Materiel Management Regulation; DoD Regulation 4500.9, Defense Transportation Regulation; and DoD Regulation 4515.13-R, Air Transportation Eligibility. DPS - Executive Order 10450, 9397; and Public Law 99-474, the Computer Fraud and Abuse Act. Privacy Act Information - The information accessed through this system is For Official Use Only and must be protected IAW DoD Directive 5400.11 and DoD 5400.11-R, DoD Privacy Program. Authority: The Privacy Act of 1974, as amended. 5 U.S.C. 552a.

PURPOSE: PII is collected to effectuate movement of household goods and personal property shipments, to include payment of carrier and service-members.

ROUTINE USES: All collected PII will be disclosed to agencies and activities of the Department of Defense for the purposes of arranging and facilitating tasks directly related to effecting movement of household goods and personal property to include facilitating payment to Service-members. Certain information, limited to rolodex contact information, is disclosed to contracted Transportation Services Providers in order to effect the movement of household goods and personal property. Use of information in this system is restricted to MilMove / DPS Prototype account holders and disclosure is prohibited without the written consent of the DPS Program Management Office.

DISCLOSURE: Voluntary. However, failure to provide the requested information may result in the Service-member being unable to use the DPS(MilMove) prototype system to effectuate their household goods or personal property movement.

h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component? (Check all that apply)

☒ Within the DoD Component

Specify. United States Transportation Command (USTRANSCOM)

☒ Other DoD Components

Specify. Defense Finance and Accounting Services (DFAS), U.S. Navy, U.S. Marine Corps, U.S. Army, and U.S. Air Force. The Services' Personal Property Processing Offices (PPPO), Personal Property Shipping Offices (PPSO) and Military Claims Offices (MCO), Defense Manpower Data Agency (DMDC)

☒ Other Federal Agencies

Specify. General Services Administration (GSA), U.S. Coast Guard, Homeland Security, Department of State, and U.S. Customs and Border Protection

☐ State and Local Agencies

Specify.

☒ Contractor (Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.)

Specify. TrussWorks - DFARS Clause 252.204-7012, Safeguarding Covered Defense Information and Cyber Incident Reporting; DoD Directive 5230.25; DoDM 5205.02-M

☒ Other (e.g., commercial providers, colleges).

Specify. Multiple TSPs/Carriers (887); TPPS/U.S. Bank - Privacy Act Notice.

i. Source of the PII collected is: (Check all that apply and list all information systems if applicable)

- | | |
|--|---|
| <input checked="" type="checkbox"/> Individuals | <input type="checkbox"/> Databases |
| <input checked="" type="checkbox"/> Existing DoD Information Systems | <input type="checkbox"/> Commercial Systems |
| <input type="checkbox"/> Other Federal Information Systems | |

Individual DOD customer submits name, SSN, DOD ID Number, phone, address, spouse name, emergency contact, and email address as part of the Login.gov registration process or DPS(MilMove) profile creation process. The DPS (MilMove) prototype collects rank/pay grade and employment information during orders processing from the military services.

j. How will the information be collected? (Check all that apply and list all Official Form Numbers if applicable)

- | | |
|---|---|
| <input type="checkbox"/> E-mail | <input checked="" type="checkbox"/> Official Form (Enter Form Number(s) in the box below) |
| <input checked="" type="checkbox"/> Face-to-Face Contact | <input type="checkbox"/> Paper |
| <input type="checkbox"/> Fax | <input type="checkbox"/> Telephone Interview |
| <input checked="" type="checkbox"/> Information Sharing - System to System | <input checked="" type="checkbox"/> Website/E-Form |
| <input type="checkbox"/> Other (If Other, enter the information in the box below) | |

PCS Orders (AF Form 899, CG-5131 (EIN #), NAVPERS 7041/1, USMC Web Orders and DD Form 1614), DD Forms 1299, 1671, 1796, 1797, 1857, 2278, 619-1, 1252, 1252-1, 1351-2, and ATF F6 Part II. Login.gov system to system data exchange.

k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

☒ Yes ☐ No

If "Yes," enter SORN System Identifier

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or <http://dpclld.defense.gov/Privacy/SORNs/>
or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

l. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?

(1) NARA Job Number or General Records Schedule Authority.

(2) If pending, provide the date the SF-115 was submitted to NARA.

(3) Retention Instructions.

NARA not required pursuant to the Personal Property Systems' Records Management Plan. Records maintained in DPS (MilMove) prototype are considered Temporary IAW CJCSM 5760.01 Vol 1 and 2, 0600-03-G. System records are purged IAW Revised Document Retention Periods for Financial Records Memo, dated 2015. Systems that have been identified without the proper schedule requirements with NARA will be processed by the Command Records Manager upon further training and resources. This process will be sent to the PM once established.

m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statute or Executive Order.

- (1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.
- (2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).

(a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

Public Law 100-562, Imported Vehicle Safety Compliance Act of 1988; 5 U.S.C. 5726, Storage Expenses, Household Goods and Personal Effects; 10 U.S.C. 113, Secretary of Defense; 10 U.S.C. 3013, Secretary of the Army; 10 U.S.C. 5013, Secretary of the Navy; 10 U.S.C. 8013, Secretary of the Air Force; 19 U.S.C. 1498, Entry Under Regulations; 37 U.S.C. 406, Travel and Transportation Allowances: Dependents, Baggage and Household Effects; Federal Acquisition Regulation (FAR); Joint Travel Regulation (JTR); DoD Directive 4500.9E, Transportation and Traffic Management; DoD Directive 5158.4, United States Transportation Command; DoD Instruction 4140.1, DoD Supply Chain Material Management; DTR 4500.9-R, Defense Transportation Regulation; and DoD Instruction 4515.13, Air Transportation Eligibility; and DoD Directive 4500.57, Transportation and Traffic Management.

n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

☒ Yes ☐ No ☐ Pending

- (1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.
- (2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, "DoD Information Collections Manual: Procedures for DoD Public Information Collections."
- (3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

0704-0531 Expires: October 31, 2017, Command Records Manager will assist to renew OMB Control Number.